**Lieferantenselbstauskunft**

**Lieferantenselbstauskunft (LSA) / Supplier Self Assessment**

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| Datum/ Date: |

**Bitte beachten Sie unsere Hinweise zum Datenschutz am Ende dieses Dokuments. /**

**Please note our privacy policy at the end of this document.**

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| **Kontakt Lieferant** / *Supplier Contact* |
| **Firma** / Company (General) |  |
| **Telefon** / Phone |  |
| **E-Mail** |  |
| **Homepage** |  |
| **Fax** |  |
| **Fremdsprachen** *Languages spoken* |  |

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| **Adresse Firmenzentrale** / *Address of headquarter* |
| **Straße***Street* |  |
| **Stadt***City* |  |
| **Bundesland***State* |  |
| **Land***Country* |  |
| **Postleitzahl***Postal Code* |  |

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| **Bankverbindung** / *Banking details* |
| **Kontoinhaber***Account Name* |  |
| **IBAN***International Bank Account Number* |  |
| **BIC***Bank Identifier Code* |  |
| **Name der Bank***Name of the bank* |  |

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| **Betriebliches Steuerrecht** / *Business law of taxation* |
| **Steuernummer***Tax ID* |  |
| ***USt-IdNr.****value added tax identification number* |  |

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| **Ansprechpartner** / *Contact Partner* |
| **Abteilung** / *Department* | Name | Phone | E-Mail |
| **Geschäftsführer** / *CEO* |  |  |  |
| **Qualitäts-Beauftragter** / *Head of QM* |  |  |  |
| **Umwelt-Beauftragter** / *Environmental Manager* |  |  |  |
| **Vertriebsleiter** / *Sales Director* |  |  |  |
| **Leiter Vertriebsinnendienst** (Geschäftszeiten / Auftragsannahme) /*Head of In-House Sales Department* *(working hours/order acceptance)* |  |  |  |
| **Auftragsabwickler /***Order processing* |  |  |  |
| **Key Account Manager** |  |  |  |
| **Leiter Entwicklung** / *Head of Engineering* |  |  |  |
| **Leiter Fertigung** /*Head of Production* |  |  |  |

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| **Allgemeine Informationen Lieferant** / *General Information Supplier* |  |
| **Produktportfolio***Commodity/ Product of interest for customer* |  |
| **Firmenname / Gesellschaftsform***Company Name/ Legal Form* |  |
| **Gründungsjahr***Year of Foundation* |  |
| **Anzahl Mitarbeiter gesamt***Total number of employees* |  |
| **Standorte***locations* |  |
| **Crefo-Nummer (Creditreform)***Crefo-number (Creditreform)* |  |  |
| **Umsatz in € (letzten 2 Geschäftsjahre)***turn over last 2 business years in €* | 2022 | 2021 |
| **Invesitionsvolumen in € (letzten 2 GJ)***investment volume in € last 2 business years* | 2022 | 2021 |
| **F&E: Volumen in € der letzten 2 GJ***R&D: Volume in € last 2 business years* | 2022 | 2021 |

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| **Produktpalette** / *Products* |
| **Haupt-Produktgruppen***Main product groups* | 1.2.3. |

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| **Kunden & Absatz** / *Customers & Market* |
| **Referenz-Kunden***reference customers* |  |  |  |
| **Branchensparte***Industry (electronic, automotive,…)* |  |  |  |
| **Anteil Export***Percent of sales exported* | % |
| **Gesamtauslastung***Production capacity utilization* | % |

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| **Wettbewerber** / *Competitors* |
| **Name des Wettbewerbers***Company name* | **Marktanteil***Market Share* |
| 1. | % |
| 2. | % |
| 3. | % |

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| **Qualitätsmanagement** / *Quality Management* |
|  | **Beschreibung***Description* | **Zertifizierungsgesellschaft***Registra / certification body* | **Läuft ab am:***Expiry Date* |
| **Zertifizierungen***QM Certificates* | ISO 9001 |  |  |
| IATF 16949 |  |  |
| **Umweltmanagement Zertifikate***Environmental management certified* | ISO 14001 |  |  |
| **Energiemanagement Zertifikate***Energy management certified* | ISO 50001 |  |  |
| **Weitere Zertifikate** /*further certificates* |  |  |  |
| **Weitere Zertifikate** /*further certificates* |  |  |  |
| **Weitere Zertifikate** /*further certificates* |  |  |  |
| **Weiteres***additional* |  |  |  |

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| **Qualitätsmanagement** / *Quality Management* |
|  | **Beschreibung***Description* |  |
| **Richtlinien***Guidelines* | REACH | [ ]  Ja / Yes [ ]  Nein / No |
| **Richtlinien***Guidelines* | WEEE | [ ]  Ja / Yes [ ]  Nein / No |
| **Richtlinien***Guidelines* | RoHs | [ ]  Ja / Yes [ ]  Nein / No |
| **Richtlinien***Guidelines* | Lieferkettensorgfaltspflichtengesetz (LkSG) | [ ]  Ja / Yes [ ]  Nein / No |
| **Weitere Richtlinien***further guidelines* |  |  |
| **Weiteres***additional* |  |  |
| **Weiteres***additional* |  |  |

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| **Lieferanten-Management /** *Supplier Management*  |
| Hauptlieferanten-Management/ *Main Supplier Management* |
|   | 1 | 2 | 3 |
| **Hauptlieferanten** /*main supplier* |   |   |   |
| **Anteil am Einkaufsvolumen** /*share of purchasing volumes*  |   |   |   |
|   | **Beschreibung***Description* | **Zertifizierungsgesellschaft***Registra / certification body* | **Läuft ab am:***Expiry Date* |
| **Zertifizierung von Vorlieferanten** /*Certification of subcontractors* |   |   |   |
| **Auditierung von Vorlieferanten** /*Auditing of subcontractors* |   |   |   |

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| **Versicherungsschutz** / insurance protection |
| **Betriebshaftpflicht** /*public liability* | [ ]  Ja / Yes [ ]  Nein / No | **Deckungssumme** /*insured sum* |  |
| **Rückrufversicherung mit Bestätigung**/*recall insurance with confirmation* | [ ]  Ja / Yes [ ]  Nein / No | **Deckungssumme** /*insured sum* |  |
| **Bestätigung der Prämienzahlung für lfd. Jahr**/*confirmation of the payment of premium for the current year* |  [ ]  Ja / Yes [ ]  Nein / No |

Das Recht auf Einsichtnahme eines Nachweises behalten wir uns vor./ We reserve the right to ask for documents.

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| **Managementinstrumente- und methoden** / management tools and methods |
| **Risikomanagement** /*Risk Management* |  [ ]  Ja / Yes [ ]  Nein / No  |
| **Chargenverwaltung** /*Batch Management* |  [ ]  Ja / Yes [ ]  Nein / No  |
| **FMEA** /*Failure Mode and Effects Analysis* |  [ ]  Ja / Yes [ ]  Nein / No  |
| **Problemlösungs-Strategie**/*problem-solving-strategy* |  [ ]  Ja / Yes [ ]  Nein / No  |

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| **Produktionsgrundlagen /** *Manufacturing work pattern* |
| **Schicht-Modell***Shift model* |   |
| **Arbeitstage pro Woche***Working days per week* |   |
| **Möglichkeiten von Sonder-Arbeitszeiten***Extra time possible* |   |
|   |   |   |   |

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 (Unterschrift, Name, Stempel) (Ort, Datum)

 *(Signature, Name, Company Stamp)*   *(City, Date)*

Notes on data protection

#  Contact details of WALTHER-WERKE Ferdinand Walther GmbH (responsible body; hereinafter also referred to as "WALTHER-WERKE") and the company data protection officer

Walther Werke Ferdinand Walther GmbH Ramsener Str. 6

67304 Eisenberg Germany

Tel: 07221-50760

E-mail: maiIOwaIther-werke.de Internet: [www.walther-werke.de](http://www.walther-werke.de/)

Managing Director: Dipl.-Wirtsch.-Ing. Kai Kalthoff You can reach our data protection officer at:

Walther-Werke Ferdinand Walther GmbH Keyword: Data protection

67304 Eisenberg

or by e-mail: datenschutzOwaIther-werke.de

1. **Purposes of data processing,** type of data

The following supplier data is collected using the "Supplier self-disclosure" form and then processed and stored: customer name, supplier number, company, company address data, delivery and billing address, customer number, contact details (telephone number and e-mail address), delivery conditions, supplier rating, person responsible, material stock (hereinafter "supplier data").

The processing of supplier data is used for supplier evaluation, business partner checks and risk management, in particular for compliance with the requirements of ISO 9001. Furthermore, the supplier data collected is used to carry out pre-contractual measures and for the purpose of contract fulfillment.

# Legal basis for data processing

The legal basis for the collection, processing and storage of personal supplier data is Art. 6 para. 1 lit. b) GDPR, insofar as this concerns processing for contract initiation and contract fulfillment, and Art. 6 para. 1 lit. f) GDPR, insofar as this concerns processing for the purposes of supplier evaluation, business partner assessment and risk management.

# Recipients of personal supplier data, no transfer to third countries

We pass on the personal supplier data within our company and, if necessary, to affiliated companies, such as Bosecker Verteilerbau Sachsen GmbH, Hirschfelder Ring 3, D-02763 Zittau, insofar as the respective recipients require this data to fulfill contractual and legal obligations or to implement our legitimate interest within the scope of the purposes listed under point 2. The legal basis for this transfer is our legitimate interest, Art. 6 para. 1 lit. fj **GDPR.**

In addition, the following bodies may receive your personal supplier data:

processors used by us (Art. 28 GDPR), in particular e.g. in the area of IT services;

public bodies and institutions if there is a legal or official obligation according to which we are obliged to provide information, report or pass on data or the passing on of data is in the public interest;

bodies and institutions on the basis of our legitimate interest or the legitimate interest of the third party (e.g. to authorities, lawyers, courts, experts, supervisory bodies);

other bodies for which you have given us your consent to transfer data.

Your personal data will only be transferred to countries outside the EU or the EEA if you have given us your consent to do so or if this is a necessary condition for the performance of a contract.

# Duration of data storage

The supplier data is deleted as soon as it is no longer required for the purpose for which it was collected, unless contractual or legal obligations prevent deletion. After fulfillment of the contractual and legal obligations, the personal data is regularly deleted. Exceptions to this are

The fulfillment of retention periods under commercial and tax law. The periods for this are between two and ten years.

The preservation of evidence within the framework of the statute of limitations. In accordance with the

§§ Sections 195 et seq. of the German Civil Code, these limitation periods can be up to thirty years. The regular limitation period here is three years until the end of the year.

We reserve the right to process your data in anonymized form for statistical purposes.

# Your data protection rights

FB 7.4-01 (09/23) 02 FE

[www.walther-werke.de](http://www.walther-werke.de/)

Data subjects have the right of access under Art. 15 GDPR, the right to rectification under Art. 16 GDPR, the right to erasure under Art. 17 GDPR, the right to restriction of processing under Art. 18 GDPR and the right to data portability under Art. 20 GDPR. The restrictions under Sections 34 and 35 BDSG apply to the right of access and the right to erasure. In addition, there is a right of appeal to the data protection supervisory authority (Art. 77 GDPR in conjunction with Section 19 BDSG). The supervisory authority responsible for WALTER-WERKE is

The State Commissioner for Data Protection and Freedom of Information Rhineland-Palatinate Prof. Dr. Dieter Kugelmann

Hintere Bleiche 34

55116 Mainz

Phone: +49 (0) 6131 208-2449

Fax: +49 (0) 6131 208-2497

Website: [https://www.datenschutz.rlp.de/](http://www.datenschutz.rlp.de/) E-mail: poststelle(at)datenschutz.rIp.de

1. Is there **an obligation to** provide data

The supplier data requested by us must be provided. If you do not provide this supplier data, we will have to reject you or your company as a supplier, as we absolutely need it to process the contract.

# Your right to object in accordance with Art. 21 GDPR

You have the right to object, on grounds relating to your particular situation, at any time to processing of personal data concerning you which is based on point (e) of Article 6(1) GDPR (data processing in the public interest) and point (f) of Article 6(1) GDPR (data processing based on a balancing of interests). This also applies to profiling based on this provision within the meaning of Art. 4 para. 4 GDPR.

If you object, WALTER-WERKE will no longer process your personal data unless WALTER-WERKE can demonstrate compelling legitimate grounds for the processing which override your interests, rights and freedoms, or the processing serves the establishment, exercise or defense of legal claims.

The objection can be made informally and can be sent, for example, to datenschutzOwaIther-werke.de or by post to the address given.